






Sr. No	Name of the documents / requirement	Document/s attached	Description of requirement	Category I FPI	Category II FPI
FPI Registraion points					
1	Common Application form (CAF)	 CAF.docx	The Common Application Form shall act as a Single Window clearance wherein FPI applicants shall fill a single form (as prescribed by Govt. of India) in an electronic manner for the purpose of KYC and registration with SEBI, PAN from Income Tax Department and opening of demat account in India	Yes	Yes
2	Annexure to CAF	 ANNEXURE TO COMMON APPLICATION	Additional Information/Declaration in CAF Annesures	Yes	Yes
3	SEBI FPI Registration (&PAN fees)	N.A.	Category I - USD 2950 (including 18% GST) Category II - USD 295 (including 18% GST) PAN Fees	Yes	Yes
4	Document for Legal Entity	 Legal Entity.docx  Cover Letter for Legal Entity.docx	Applicable where the applicant is not the Legal Entity by itself and does not have constitution documents in its own name. Below are required 1. Cover Letter linking Legal Entity to the FPI applicant 2. Annexure A (KYC Form for LE - requirement may vary across custodians) 3. Formation Document of the Legal Entity	Yes	Yes
5	Declaration for additional disclosures	 Additional Disclosures declaration.docx	Required by all FPIs (except Government and Government related investors) at the time of account opening	Yes	Yes
KYC related points					
6	Constitution Docs	N.A.	MoA, AoA, Prospectus, Offering Memorandum, Trust Deed, Partnership Deed, Certificate of Incorporation, etc. Additional documents may be obtained depending on the category/sub-category of the FPI	Yes	Yes
7	Regulatory Proof	N.A.	Link of the Website OR Regulatory Proof - Issued by the Local Regulator in case the information is not available online on the regulatory website for Category I applicants	Yes	No
8	Board Resolution and list of Authorised Signatories	N.A.	POA can be accepted inlieu of Board Resolution. List of signatories are required who execute the documents.	Yes	Yes
9	Power of Attorney	N.A.	To be executed by the applicant in favor of the India custodian which will enable the latter to undertake activities for the applicant	Yes	Yes

10	Legal Entity Identifier (LEI)	N.A.	To be shared	Yes	Yes
11	Entity Proof of Address	N.A.	Documents prescribed under Master Circular including Power of Attorney to be collected as address proof	Yes	Yes
12	Individuals Proof of Identity & Address	N.A.	Required for clients that meet the erstwhile Category III requirements as RBI has not yet reclassified the FPI categories as per SEBI. Since there is a difference in the KYC prescribed by SEBI and RBI, proof of address requirement may vary across custodians.	No	Yes
	Tax related points				
13	PAN	N.A.	PAN application is part of CAF	Yes	Yes
14	Tax Consultant Details (CPA)	N.A.	Client to provide the name and contact details of the tax consultant appointed by then in India along with the authorization to share all trade, registration, KYC related information as and when required by the tax consultant.	Yes	Yes
15	FATCA/CRS	N.A.		Yes	Yes
	Trading codes				
16	Custodian Participant (CP) Code	N.A.	Required for listed equity - to be applied by the Custodian	Yes	Yes
17	CBRICS/ICDM	N.A.	Required for secondary market corporate bonds - FPI to instruct Custodian for applying	Yes	Yes
18	CORE ID	N.A.	Required for Government Bonds - FPI to instruct Custodian for applying	Yes	Yes
19	Unique Client Code (UCC)	N.A.	To be applied by the Custodian	Yes	Yes